



Financial Institutions Commission of BC

Ministry of Finance
Financial Institutions Commission
Registrar of Mortgage Brokers
1200 - 13450 - 102nd Avenue
Surrey BC V3T5X3
Telephone: 604 953-5300
Facsimile: 604 953-5301

For Office Use Only	
Approved by:	Date of Approval:
Restrictions:	

Application for Registration Form 2 - Personal

Please refer to "Instructions for Completing Form 2" for guidance in completing this form.

THIS FORM: is an application for submortgage broker registration
 is a director's exhibit to Form 1

1. APPLICANT

(a) Full Legal Name: _____

(b) Residence Address: _____
Number Street City, Province Postal Code

(c) Address for Service: _____
Number Street City, Province Postal Code

(d) Telephone Number: _____ (e) Fax Number: _____

(f) Email Address: _____ (g) Website Address: _____

2. INTENDED EMPLOYER

(a) Full Legal Name: _____ (b) Business Address: _____

(c) Telephone Number: _____ (d) Fax Number: _____

3. PERSONAL HISTORY

(a) Applicant is: a Canadian citizen
 a permanent resident
 entitled to work under an immigration permit

(b) Have you ever used, or been known by, any name other than your full legal name? If yes, state other names: YES NO

(c) Date of Birth: _____
Month / Day / Year

4. EMPLOYMENT HISTORY

Give full disclosure of your business activities, including self-employment and unemployed periods, if any, for the full 5 year period immediately preceding the date of this application (if more space is required, attach separate sheet):

Name of Employer	Nature of Employment	From		To	
		Month	Year	Month	Year

5. PRIOR LICENSING OR REGISTRATION

(a) Have you ever been licensed, registered or authorized in any capacity, under any of the following Acts or under similar legislation in British Columbia or elsewhere?

(i) Financial Institutions Act: YES NO (iv) Real Estate Act: YES NO

(ii) Mortgage Brokers Act: YES NO (v) Securities Act: YES NO

(iii) Real Estate Services Act: YES NO (vi) Other: _____

(5. cont...)

- (b) Have you ever been refused a license or registration, or have you ever been disciplined or had your license or registration suspended or cancelled under any of the Acts in Question 5(a) or under any other legislation in British Columbia or elsewhere? YES NO

6. BANKRUPTCY, JUDGEMENTS, CIVIL OR CRIMINAL PROCEEDINGS

- (a) Are you subject to a charge or indictment, or have you been convicted under any law of any province, state or country? YES NO
- (b) Have you personally, or has any business of which you were an officer, director or partner ever been subject to bankruptcy proceedings? YES NO
- (c) Are there any pending legal proceedings against you or any business of which you were an officer, director or partner? YES NO
- (d) In the last five years has any judgment ever been rendered against you personally, or against any business of which you were an officer, director or partner, in any civil court in British Columbia or elsewhere for any reason whatsoever? YES NO
- If yes, has the judgment been satisfied? YES NO
- (e) To your knowledge, are you currently the subject of an investigation by any law enforcement or regulatory agency in British Columbia or elsewhere? YES NO

For any of the above questions which you have answered "Yes" to, or where otherwise requested, please attach complete details as an exhibit using the same numbering as on this application.

WARNING

ANY APPLICATION CONTAINING A FALSE STATEMENT MAY RESULT IN THE REFUSAL, SUSPENSION OR CANCELLATION OF ANY REGISTRATION.

7. I, the undersigned, certify that:

I am the Applicant herein for registration and the statements of fact made by me in this application and in the attachments, if any, are true and complete.

Dated _____, 200____ _____
Signature

Print Name

I, the Designated Individual, certify that I am satisfied through personal knowledge or from inquiries that the Applicant herein has:

- (i) a good reputation; and
- (ii) the qualifications required to be a submortgage broker.

Dated _____, 200____ _____
Name of employer or sponsor

Signature of Designated Individual

Print Name

Attach fees in the amount of \$ _____ Paid by: Cheque Credit Card Cash

NOTE THAT ONCE SUBMITTED, THE FEE IS NOT REFUNDABLE.