



Financial Institutions  
Commission of BC

# PENSION PLAN FINANCIAL INFORMATION RETURN

Name of Pension Plan


BC Registration Number

P0
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Type of Pension Plan (DB or Hybrid)

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Plan Year Ending

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Year      Month      Day

Number of Months Covered

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## PART 1 - STATEMENT OF CHANGES IN NET ASSETS

	<i>Current Plan Year</i>	<i>Prior Plan Year</i>
<b>INCREASE IN ASSETS</b>		
<b>1. Increase Due to Investments</b>		
(a) Investment Income .....		
(b) Realized Net Gains (or Losses) on Investments		
(c) Unrealized Net Gains (or Losses) on Investments		
(d) <b>Total Increase Due to Investments</b> <sup>1</sup> (a+b+c) .....		
<b>2. Contributions</b>		
(a) Member Contributions .....		
(b) Additional Voluntary Contributions .....		
(c) Employer Contributions (current service, special payments, etc.)		
(d) <b>Total Contributions</b> <sup>2</sup> (a+b+c) .....		
<b>3. Transfers to the Pension Fund</b> .....		
<b>4. Other Sources of Increase</b> .....		
<b>5. TOTAL INCREASE IN ASSETS</b> <sup>(1d+2d+3+4)</sup> .....		
<b>DECREASE IN ASSETS</b>		
<b>6. Plan Expenses</b>		
(a) Investment Manager and Custodial Fees .....		
(b) Professional Fees .....		
(c) Board of Trustee Expenses .....		
(d) Other Expenses.....		
(e) <b>Total Plan Expenses</b> <sup>6</sup> (a+b+c+d) .....		
<b>7. Benefits and Transfers</b>		
(a) Benefits Paid Directly by the Plan .....		
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(b) Transfers from the Pension Fund to other Registered Plans		
(c) Other Transfers .....		
(d) Lump Sum Cash Payments .....		
(e) <b>Total Benefits and Transfers</b> <sup>7</sup> (a+b+c+d) .....		
<b>8. Other Sources of Decrease</b> .....		
<b>9. TOTAL DECREASE IN ASSETS</b> <sup>(6e+7e+8)</sup> .....		
<b>10. CHANGE IN NET ASSETS</b> <sup>(5 - 9)</sup> .....		
<b>11. NET ASSETS AT BEGINNING OF PLAN YEAR</b> .....		
<b>12. NET ASSETS AT PLAN YEAR END</b> <sup>(10 + 11)</sup> .....		

## PART 2 - STATEMENT OF NET ASSETS

	<i>Current Plan Year</i>	<i>Prior Plan Year</i>
<b>ASSETS</b>		
1. <b>Cash on Hand</b> .....	[ ]	[ ]
<b>Investments at Fair Value</b>		
<b>2. Debt Securities (Canadian and Foreign)</b>		
(a) Short Term Notes, Securities, and Other Term Deposits .....	[ ]	[ ]
(b) Bonds and Other Debt Securities Guaranteed by a Government .....	[ ]	[ ]
(c) Corporate Bonds and Other Corporate Debt Securities .....	[ ]	[ ]
(d) Mutual/Pooled Funds - Bonds, Cash Equivalent, and Mortgage .....	[ ]	[ ]
(e) Mortgage Loans .....	[ ]	[ ]
(f) Amounts Deposited in the General Fund of an Insurer .....	[ ]	[ ]
(g) <b>Total Debt Securities</b> <sup>2</sup> (a+b+c+d+e+f) .....	[ ]	[ ]
<b>3. Equity (Canadian and Foreign)</b>		
(a) Shares in Investment, Real Estate or Resource Corporations ...	[ ]	[ ]
(b) Common and Preferred Shares .....	[ ]	[ ]
(c) Stock Mutual/Pooled Funds .....	[ ]	[ ]
(d) Real Estate Mutual/Pooled Funds .....	[ ]	[ ]
(e) Real Estate .....	[ ]	[ ]
(f) <b>Total Equity</b> <sup>3</sup> (a+b+c+d+e) .....	[ ]	[ ]
<b>4. Diversified and Other Investments (Canadian and Foreign)</b>		
(a) Balanced Mutual/Pooled Funds .....	[ ]	[ ]
(b) Segregated Funds .....	[ ]	[ ]
(c) Miscellaneous Investments .....	[ ]	[ ]
(d) <b>Total Other Investments</b> <sup>4</sup> (a+b+c) .....	[ ]	[ ]
5. <b>Total Investments at Fair Value</b> <sup>(2g+3f+4d)</sup> .....	[ ]	[ ]
<b>6. Accounts Receivable</b>		
(a) Member and Additional Voluntary Contributions .....	[ ]	[ ]
(b) Employer Contributions .....	[ ]	[ ]
(c) Investment Income and Earnings Receivable .....	[ ]	[ ]
(d) Other Amounts Receivable .....	[ ]	[ ]
(e) <b>Total Accounts Receivable</b> <sup>6</sup> (a+b+c+d) .....	[ ]	[ ]
7. <b>TOTAL ASSETS</b> <sup>(1+5+6e)</sup> .....	[ ]	[ ]
<b>8. Accounts Payables</b>		
(a) Mortgage Borrowings .....	[ ]	[ ]
(b) Pension Benefits, Refunds and Transfers Payable .....	[ ]	[ ]
(c) Expenses Payable .....	[ ]	[ ]
(d) Other Amounts Payable .....	[ ]	[ ]
(e) <b>Total Accounts Payable</b> <sup>8</sup> (a+b+c+d) .....	[ ]	[ ]
9. <b>NET ASSETS AT PLAN YEAR END</b> <sup>(7-8e)</sup> .....	[ ]	[ ]



Registration Number \_\_\_\_\_

Plan Year Ending \_\_\_\_\_  
Year/Month/Day

### PART 4 - CERTIFICATION

The plan administrator must certify the Financial Information Return, in addition to the certification required as part of the Annual Information Return.

#### PLAN ADMINISTRATOR

*If the administrator is a board of trustees or other similar body, two of its authorized members must sign. If the administrator is the employer, one signature is sufficient.*

I hereby certify that, to the best of my knowledge:

- (a) this financial information return is a complete and accurate representation, in all material respects, of the financial position and changes in the net assets of the pension fund; and
- (b) the assets of the pension fund have been invested in accordance with the *PBSA*, the *PBSR* and the plan's written Statement of Investment Policies and Procedures.

Signatory's full name (please print)

Signatory's full name (please print)

Title or Position (please print)

Title or Position (please print)

Telephone number

Telephone number

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Date

\_\_\_\_\_  
Date